



# Summons

In the matter of THOMAS W FAULKNER, 149 KLINE BLVD, BERKELEY HTS, NJ 07922

Internal Revenue Service (Division): Small Business / Self Employed

Industry/Area (name or number): Small Business / Self Employed - Area 22

Periods: DECEMBER 31, 2010

## The Commissioner of Internal Revenue

To: THOMAS W FAULKNER

At: 149 KLINE BLVD, BERKELEY HTS, NJ 07922

You are hereby summoned and required to appear before MS. KIRSCHNER, an officer of the Internal Revenue Service, to give testimony and to bring with you and to produce for examination the following books, records, papers, and other data relating to the tax liability or the collection of the tax liability or for the purpose of inquiring into any offense connected with the administration or enforcement of the internal revenue laws concerning the person identified above for the periods shown.

All documents and records in your possession or control reflecting the receipt of taxable income by you for the year(s) DECEMBER 31, 2010, including but not limited to: statement of wages for the year(s) DECEMBER 31, 2010, statements regarding interest or dividend income for the year(s) DECEMBER 31, 2010; employee earnings statements for the year(s) DECEMBER 31, 2010; records of deposits to bank accounts during the year(s) DECEMBER 31, 2010; and any and all other books, records, documents, and receipts regarding wages, salaries, tips, fees, commissions, and any other compensation for services (including gains from dealings in property, interest, rental, royalty and dividend income, alimony, annuities, income life insurance policies and endowment contracts, pensions, income from the discharge of indebtedness, distributive shares of partnership gross income, and income from an estate or trust), so that Federal Income Tax liability for the year(s) DECEMBER 31, 2010 (for which year(s) no return have been made) may be determined.

**Do not write in this space**

**Business address and telephone number of IRS officer before whom you are to appear:**

200 SHEFFIELD STREET, DIAMONDHEAD BUILDING, MOUNTAINSIDE, NJ 07092- - (908)301-2255

**Place and time for appearance at** 200 SHEFFIELD STREET, DIAMONDHEAD BUILDING, MOUNTAINSIDE, NJ 07092-



Department of the Treasury  
Internal Revenue Service

[www.irs.gov](http://www.irs.gov)

on the 24th day of January, 2012 at 03:00 o'clock P m.

Issued under authority of the Internal Revenue Code this 27th day of December, 2011

MS. KIRSCHNER

Signature of Issuing Officer

REVENUE OFFICER

Title

Form 2039 (Rev. 10-2010)  
Catalog Number 21405J

Signature of Approving Officer (if applicable)

Title

**EXHIBIT**

A

**Original -- to be kept by IRS**



# Service of Summons, Notice and Recordkeeper Certificates

(Pursuant to section 7603, Internal Revenue Code)

I certify that I served the summons shown on the front of this form on:

Date

12/27/11

Time

4pm

**How  
Summons  
Was  
Served**

1. ☒

I certify that I handed a copy of the summons, which contained the attestation required by § 7603, to the person to whom it was directed.

2. ☐

I certify that I left a copy of the summons, which contained the attestation required by § 7603, at the last and usual place of abode of the person to whom it was directed. I left the copy with the following person (if any): \_\_\_\_\_

3. ☐

I certify that I sent a copy of the summons, which contained the attestation required by § 7603, by certified or registered mail to the last known address of the person to whom it was directed, that person being a third-party recordkeeper within the meaning of § 7603(b). I sent the summons to the following address: \_\_\_\_\_

Signature

*[Handwritten Signature]*

Title

*[Handwritten Title]*

4. This certificate is made to show compliance with IRC Section 7609. This certificate does not apply to summonses served on any officer or employee of the person to whose liability the summons relates nor to summonses in aid of collection, to determine the identity of a person having a numbered account or similar arrangement, or to determine

whether or not records of the business transactions or affairs of an identified person have been made or kept.

I certify that, within 3 days of serving the summons, I gave notice (Part D of Form 2039) to the person named below on the date and in the manner indicated.

Date of giving Notice: \_\_\_\_\_

Time: \_\_\_\_\_

Name of Noticee: \_\_\_\_\_

Address of Noticee (if mailed): \_\_\_\_\_

**How  
Notice  
Was  
Given**

☐

I gave notice by certified or registered mail to the last known address of the noticee.

☐

I gave notice by handing it to the noticee.

☐

I left the notice at the last and usual place of abode of the noticee. I left the copy with the following person (if any).

☐

In the absence of a last known address of the noticee, I left the notice with the person summonsed.

☒

No notice is required.

Signature

*[Handwritten Signature]*

Title

*[Handwritten Title]*

I certify that the period prescribed for beginning a proceeding to quash this summons has expired and that no such proceeding was instituted or that the noticee consents to the examination.

Signature

Title